A room with a view: Automatic assessment of window views for high-rise high-density areas using

City Information Models and deep transfer learning

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Highlights

- Four Window View Indices (WVIs) were defined for measuring outside greenery, water-body, sky, and construction views.
- WVIs complemented existing view indices from the ground, aircraft, and satellites for urban computing.
- City Information Model (CIM)-based view images were trustworthy data sources for WVIs.
- Automatic WVI assessment based on deep transfer learning with an ML regression layer was performed.
- Highly satisfactory ($R^2 > 0.95$) and fast (3.08 s/view) assessment results from experimental tests were obtained.

1 Abstract

- 2 Every windowed room has a view, which reflects the visibility of nature and landscape and
- ³ has a strong influence on the health, living satisfaction, and housing value of inhabitants.
- 4 Thus, automatic accurate window view assessment is vital in examining neighborhood
- ⁵ landscape and optimizing the social and physical settings for sustainable urban development.

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However, existing methods are labor-intensive, inaccurate, and non-scalable to assess 6 window views in high-rise, high-density cities. This study aims to assess Window View Indices (WVIs) quantitatively and automatically by using a photo-realistic City Information 8 Model (CIM). First, we define four WVIs to represent the outside (i) greenery, (ii) water-9 body, (iii) sky, and (iv) construction views quantitatively. Then, we proposed a deep transfer learning method to estimate the WVIs for the window views captured in the CIM. Preliminary experimental tests in Wan Chai District, Hong Kong confirmed that our method 12 was highly satisfactory ($R^2 > 0.95$) and fast (3.08 s per view), and the WVIs were accurate 13 (RMSE < 0.042). The proposed approach can be used in computing city-scale window views 14 for landscape management, sustainable urban planning and design, and real estate valuation. 15 16 **Keywords:** Window view; View quality index; High-rise buildings; City information model; Deep learning; Urban computing. 17

18

19 **1 Introduction**

High-quality views can promote the physical and mental health, satisfaction, restoration, and productivity of inhabitants as shown by studies on psychology, physiology, and urban health (Ulrich 1984; Lottrup et al. 2015; Waczynska et al. 2021). In general, highquality views often involve considerable proportions of natural features, such as greenery, sky, and water body, which are preferred by people (Hellinga 2013). Although the world 24 population is migrating to cities (UNPD 2014), urban planners and citizens find it challenging 25 to optimize the visibility of nature and landscape for windows in cities, especially in high-26 rise, high-density areas. The Covid-19 pandemic recently has limited people's physical access 27 to nature in many places, further amplifying the benefits of high-quality window views. 28 Consequently, high-quality window views, as a scarce resource, have been found to have 29 considerable influence on real estate values and sustainable urban development in terms of neighborhood satisfaction, psychological and physical well-being, and urban planning and design (Benson et al. 1998; Bishop et al. 2004; Jim & Chen 2009; Baranzini & Schaerer 32 2011). 33

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Researchers have developed a plethora of urban indices and computational methods to assess various urban views from different dimensions and perspectives. For example, on the global scale, satellite images can produce overhead view indices (Tucker 1979; McFeeters 1996), such as the Normalized Difference Vegetation Index (NDVI) for vegetation (Liu et al.

2016) and the Normalized Difference Water Index for blue space exposure (Helbich et al. 39 2019). At the city and neighborhood scale, photographs and videos taken by vehicle-borne 40 cameras can assess the street views (Li et al. 2015; Shen et al. 2017; Dong et al. 2018; Lu 41 2018). These quantified view indices considerably contribute to human-built-environment 42 studies, such as urban depression symptoms (Helbich et al. 2019). However, cities, especially 43 high-rise, high-density ones, are not flat, so overhead and street-view assessment methods 44 cannot correctly represent window views (Li et al. 2015). High-rise, high-density areas, like 45 Hong Kong urban areas, have characteristics of high-rise buildings, narrow compacted street 46 canyons, high-level plot ratios, and high building densities (Gong et al. 2018). Within this 47 kind of context, the view from the window of a 30/F of an apartment may be completely 48 different from that of a 3/F one. 49

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Window view quality is receiving increased attention from researchers in the fields of 51 architecture, urban health, and property valuation. For example, an ideal architectural design 52 tends to assess the indoor design and the outdoor views holistically (Ko et al. 2021; Li & 53 Samuelson 2020). Urban health researchers often use survey, interview, or questionnaire 54 methods to classify the window view qualitatively (Lottrup et al. 2015; Masoudinejad & 55 Hartig 2020). Qualitative descriptions of housing quality such as "with deluxe sea view" and 56 "with hill view" have been popular in the housing and hostel market of urban areas such as 57 Hong Kong (Jim & Chen 2009), and Mediterranean coastal cities (Fleischer 2012). However, 58 the existing methods are challenging for the assessment of window views at the city level. 59 First, too many window views exist in a city to be represented and preprocessed (Li et al. 2015). Second, conventional methods are too laborious to assess millions of window views 61 for a city, and manual assessments are prone to various errors, such as preconceived notions in questionnaires and subjective judgments in valuation (Helbich et al. 2019). Thus, an 63 automatic accurate assessment of window views can contribute to large-scale landscape and 64 urban studies, as well as related disciplines and industries, for billions of urban inhabitants. 65 The quantified views serving as a vertical view information hub can facilitate developers, 66 urban planners, and other decision-makers to make well-informed decisions in real estate 67 valuation, sustainable urban planning, e.g., green space planning for prioritized buildings, and 68 new town design for balanced natural view acquisition and high-quality landscape view 69 conservation, especially in high-rise, high-density cities. 70

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72 This study aims to present a series of Window View Indices (WVIs) together with an

- automatic assessment method based on City Information Models (CIMs) and deep transfer 73 learning. A CIM is a digital representation of the physical and functional characteristics of a 74 city, and it can serve as a shared-knowledge resource (Song et al. 2017; Xue et al. 2021). 75 With advanced remote sensing technologies, photo-realistic 3D CIMs become increasingly 76 accurate in geometry and affordable in price. Recently, researchers have applied virtual 77 cameras to CIMs to generate realistic images of 3D window views as needed (Li & 78 Samuelson 2020; Li et al. 2020). The proposed method in the presented study extends the 79 existing work with deep transfer learning to quantify massive quantities of view images. 80
- 81

82 The main contributions of this study are thus twofold:

- i. From a theoretical perspective, the WVIs and assessment methods in this study extend
 the knowledge on computing window views in cities, especially in high-rise, high density areas. The WVIs complement the existing studies on overhead and street-level
 urban views.
- ii. For urban planning and design, the assessment results of this study are automatic and
 accurate for any window (or 3D viewport), thanks to the up-to-date CIM and deep
 transfer learning model pre-trained on other urban datasets. The output WVIs can
 facilitate planners, architects, and other decision-makers in optimizing the
 neighborhood landscape, urban planning and design, and property valuation for
 sustainable urban development.
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The remainder of this study is organized as follows. The related work in literature is reviewed in Section 2. The WVI definitions and the automatic assessment method are presented in Section 3. Section 4 describes preliminary experiments and the results. The discussion and conclusion are presented in Sections 5 and 6, respectively.

98 **2 Literature review**

99 2.1 Urban views

Numerous studies have been conducted to compute and analyze urban views, e.g., impacts on
human response (Roe et al. 2013) and economic development (Bishop et al. 2004; Jim &
Chen 2009). Examples are green, water, sky, and construction views. Such views are not only
of the interest in landscape and urban planning, but also attracting researchers in other
disciplines such as psychology, physiology, urban health, and real estate.

First, greenery is of great significance to urban dwellers' psychological and physical health. Classical theories such as the stress reduction theory (Ulrich 1983) and attentional restorative theory (Kaplan S. 1995) have already shown this. For instance, green views can reportedly heighten positive effects such as performance and vitality (Van den Berg et al. 2016), reduce fears (Ulrich 1984), and block stressful thoughts (Roe et al. 2013). Other studies have shown that people's accessibility to greenery can increase their restorative potential (Pazhouhanfar & Kamal 2014) and thus influence the recovery from surgery (Ulrich 1984), and promote productivity and job satisfaction (Kaplan R. 2001; Lottrup et al. 2015). The green view impacts have been more extensively related to topics such as mental fatigue, depression (Helbich et al. 2019), and potential for violence and crime (Kuo & Sullivan 2001).

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Water and sky views as blue elements enable housing to enhance human healthcare and 117 property value. High-quality water bodies benefit people by having better aesthetic 118 enjoyment and restorative potential (White et al. 2010), whereas viewing the sky offers 119 occupants the sight and feeling of openness and spaciousness (Kaya & Erkip 2001). They found that exposures to water and sky, similar to green views, benefit health and well-being, such as stress reduction (Ulrich 1981), increased physical activity (Gascon et al. 2017), high restorative potential (Masoudinejad & Hartig 2020), and promotion of positive mood and satisfaction (Kaplan R. 2001; Gascon et al. 2017). Meanwhile, as precious attributes of the 124 aesthetic landscape, water and sky views are of great value, especially in high-rise, high-125 density areas. As a result, both are influential on the property price (Baranzini & Schaerer 126 2011; Fleischer 2012).

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For construction views from buildings, streets, and roads, their aesthetics and scarcity affect the preferences of humans. For instance, features such as constructed landmarks are desirable in window views (Baranzini & Schaerer 2011; Damigos & Anyfantis 2011). By contrast, studies also demonstrated that urban views with natural features are preferred by occupants over plain and dull construction scenes (Ulrich 1981; Grinde & Patil 2009). In summary, the four types of view features are worthy of assessment for windows in high-rise, high-density areas.

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137 2.2 Assessments of window views

138 Generally, window-view quality can be assessed by two methods, namely, subjective and

objective. First, numerous studies have utilized mostly a window view assessment according 139 to the participants' subjective judgments on views (Lottrup et al. 2015; Li & Samuelson 140 2020; Masoudinejad & Hartig 2020). The window views are presented by physical forms, 141 such as photographs and virtual forms (e.g., virtual reality). Researchers and practitioners 142 first collect window views according to their research objects. Then, participants assess or 143 rank the window views by using interview forms and questionnaire tables. The assessment 144 results are not concrete owing to fuzzy scales and criteria. The assessment methods on the 145 participants' subjective answers are also time-consuming (Helbich et al. 2019; Labib et al. 146 2021). Thus, subjective methods are limited to a small scale and cannot practically form 147 common standards to coalesce the window view information objectively and automatically. 148

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Objective methods and indices have emerged in the last decade for quantifying vertical views. An example is a simulation-based view index harnessing the power of techniques in 151 the Geographic Information System, Remote Sensing, and 3D modeling (Yu et al. 2016; 152 Labib et al. 2021). A traditional method, namely 3D visibility analysis, has been used to 153 examine neighborhood amenities at the site and ground levels (Turan et al. 2019; Labib et al. 154 2021). Particularly, Yu et al.'s (2016) method measures floor-level greenery view based on 155 the NDVI metric in a high-rise, high-density context, though the oversimplified 2.5D 156 greenery can lead to errors. Alternatively, view photography method can effectively compute 157 and analyze the real profile view of landscapes (Li et al. 2015; Shen et al. 2017; Dong et al. 158 2018). Recently, the method has also been used in Li et al.'s (2020) two-class window view 159 classification, i.e., "nature" and "construction," based on Apriori rules and a transfer learning model. However, Li et al.'s (2020) method relies on rigid classification rules and has only 161 two types of features. Thus, next-generation objective assessment methods should be able to adapt to more urban scenes, with up-to-date machine learning (ML) technologies. 163

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165 2.3 Deep learning and applications in urban studies

Deep learning is a group of multi-layer artificial neural networks involving multiple levels of representation learning (LeCun et al. 2015). Deep learning models have shown strengths in general pattern recognition tasks (LeCun et al. 2015). For instance, SegNet as one of the best deep convolutional network models has been used in visible landscape segmentation and quantification tasks (Liang et al. 2017; Shen et al. 2017). To study the relationship of natural features including greenery and water with geriatric depression in Beijing, China, a fully

convolutional neural network (FCN-8s) was used to segment the street view images into
green parts and blue parts (Helbich et al. 2019).

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Deep transfer learning adopts a pre-trained network, inductively or transductively, from a 175 source domain to the target domain on the basis of a mapping mechanism (Pan & Yang 176 2009). A small training dataset in the target domain can effectively map the variables' relationships and transfer the pre-trained network. Deep transfer learning has become 178 prevalent for saving the time and resource costs in labeling training data with negligible 179 performance downgrades from the original model. Thus, it is widely used in the semantic 180 understanding of urban research, such as environmental management (Chen et al., "Looking 181 beneath the surface": A visual-physical feature hybrid approach for unattended gauging of 182 construction waste composition 2021; 2022), urban morphology (Middel et al. 2019), and 183 perception (Yao et al. 2019; Li et al. 2020). For instance, fed by the street view images, FCN-184 8s pre-trained on the ADE20K dataset was transferred in water and greenery extraction of 185 streetscape (Helbich et al. 2019). All previous studies have confirmed that deep transfer 186 learning can be a versatile and inexpensive instrument from one domain to a similar domain 187 application. Thus, for large-scale window view quality assessment and applications, deep 188 transfer learning can provide cost-effective support for the semantic segmentation of the 189 view. 190

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In summary, large-scale window view assessment, especially the automatic method, has previously been a conundrum owing to the poor availability of window data and immature window view reconstruction and processing. Meanwhile, textured CIMs, deep transfer learning, and other learning technologies may open a window of opportunity to improve the automatic window view assessment for high-rise, high-density areas significantly.

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1983 Research methods

Figure 1 shows the proposed method as an Icam DEFinition for Function modeling (IDEF0) diagram, which is a public-domain flowchart-like methodology for modeling processes and functions (Colquhoun et al. 1993). The legend in Figure 1 explains the inputs, methods and tools, control parameters, and final outputs of each sub-process. The proposed automatic window view assessment method comprises three steps: (i) batch generation, (ii) semantic segmentation of pixels, and (iii) estimation of view indices. Each step employs a specific

method and control parameters. Overall, the main inputs are a 3D photo-realistic CIM and
corresponding 2D building footprints in this study. The output is a set of quantified WVIs.
Finally, post-processing enriches the input CIM with the WVIs for smart decision-making for
landscape and urban planning and related disciplines. A practitioner can follow the same
methods and tools in Figure 1 and adjust the control parameters for specific application
scenarios.

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Figure 1. IDEF0 (Colquhoun et al. 1993) diagram of the proposed method for assessing window views.

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216 3.1 Definitions of WVIs

217 3.1.1 Window view index

This study defines the WVI as the ratio of pixels for each view type. Given a view image $v = \{ p_{ij} | 1 \le i \le M, 1 \le j \le N \}$ of $M \times N$ pixels and a finite set *L* of views, as shown in Figure 2, the WVI in an input window view image is the ratio:

$$WVI_l = \frac{|\{p \mid p \in v, \lambda(p) = l\}|}{M \times N} \qquad , l \in L,$$
(1)

(2)

where $\lambda(p) = l$ is the semantic label of a pixel *p*, e.g., "green" or "waterbody", and $|\cdot|$ is the cardinality operator indicating the total number of pixels. Thus, all WVIs are scalars bounded between 0 and 1:

- $WVI_l \in [0, 1] \qquad , l \in L.$
- 226

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We select the four major types of window views as summarized in Section 2.1. That is, L =

- ²²⁸ {'green', 'waterbody (water)', 'sky', 'construction (const.)'}, as shown in Figure 2. Table 1
- lists the common city objects' mapping to L. For instance, the "green" view type covers all
- kinds of greenery, including trees, bushes, and grasses. Four symbols, namely, WVIgreen,
- WVIwater, WVIsky, and WVIconst., represent the scalar values, respectively. Despite the presence

- of other possible city objects such as pedestrians, pets, vehicles, and aircraft, the four major types are dominant in window views in our experiment, i.e., $WVI_{green} + WVI_{water} + WVI_{sky} +$
- $WVI_{const.} \approx 1$, as shown in Figure 2. Furthermore, the ratio-based definition is consistent and
- robust for the comparison of views from different window sizes across districts and cities,
- which is helpful for the proof-of-concept purpose in this study, e.g., a window with $WVI_{green} =$
- 0.8 owns more proportions of greenery and can thus be regarded as a totally green-view
- window, compared with another having $WVI_{green} = 0.4$.



Figure 2. Examples of the four Window View Indices (WVIs).

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Table 1. List of types of views and associated common city objects.

Туре	Symbol	Example objects
Green	<i>WVI</i> green	Trees, bushes, and grasses
Waterbody	WVIwater	Sea, lakes, ponds, and rivers
Sky	WVI _{sky}	Sky, clouds, and fog
Construction	WVIconst.	Building facades, roofs, walls, streets, houses, and roads

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244 3.1.2 Window view ranking

- ²⁴⁵ Furthermore, the relative window view ranking (WVR) of a window's WVI within a high-
- rise, high-density area *A* can be defined as the percentile to the maximum WVI of the context:

$$WVR_{l}^{A} = \frac{WVI_{l}}{\max(WVI_{l}^{A})} = \begin{cases} \text{Very high, } WVR_{l}^{A} \in [0.8, 1.0] \\ \text{High, } WVR_{l}^{A} \in [0.6, 0.8) \\ \text{Average, } WVR_{l}^{A} \in [0.4, 0.6) \\ \text{Low, } WVR_{l}^{A} \in [0.2, 0.4) \\ \text{Very low, } WVR_{l}^{A} \in [0.0, 0.2) \end{cases} , l \in L.$$
(3)

- Therefore, the WVR classifies all the windows in an area into five isometric groups. WVR 248 can resolve the issue of inconsistent upper bounds of different WVIs, which enables an inter-
- view-type comparison. For instance, although max(WVIsky) is roughly 0.5 and max(WVIgreen)
- is 1.0 theoretically, $max(WVR_{sky})$ and $max(WVR_{green})$ can still reach 1.0. Thus, one window 251
- with absolute $WVI_{sky} = 0.5$ and $WVI_{green} = 0.5$ can be tagged as a "very high"-level sky view
- but an "average"-level green view within the context. People's decision-making is expected 253
- to be associated more with WVRs than WVIs, e.g., in property valuation. 254
- 3.2 Proposed assessment method 255

- 3.2.1 Batch generation of window view images
- The first step aims to generate the window view images in an urban area in batch. The image 257 extraction process, as shown in Figure 3, is automatic on 3D GIS platforms with camera 258 functions, such as Cesium (Cesium GS 2022). Figure 3a shows a window's 3D geolocation 259 (lng, lat, height) and heading direction are computed on the facade of extruded footprints by building height information, where the *heading* direction is assumed perpendicular to the 261 facade at (lng, lat). The field of view is set to 60° to represent the normal human field of 262 vision (FoV) (Tara et al. 2021), while the pose of the virtual camera is set on the window with 263 tilt = 0 and pitch = 0 to capture views. The image extraction extends Li et al. (2020) as the 264 camera's view of the photo-realistic CIM's textured appearance. The difference from Li et al. 265 (2020) is the full automation for massive windows using a JavaScript program as shown in Figure 3b. 267





Figure 3. Batch generation of window view images. (a) Window location computation, 269 camera settings, and (b) image generation process.

However, neighboring windows on the same facade often share similar views. Thus,

sampling the facade with certain intervals, e.g., every 10 or 20 m, is a cost-effective method,

as shown in Figure 3b, which can considerably save computational effort without losing

notable WVI accuracy. Based on the efficient sampling and GIS-based view visualization, the

batch generation can extract view images for the windows of a high-rise, high-density area.

Learned from experiments and sensitivity analysis results in Section 4, we used 20 and 5 m to

obtain a location matrix of view sites within the large and small facades, respectively.

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3.2.2 Deep transfer learning-based semantic segmentation

281 This step classifies every pixel in an input image to a semantic view label through deep

transfer learning. One of the most relevant deep learning datasets is the Cityscapes

benchmarking dataset (Cordts et al. 2016), which comprises 25,000 urban views annotated as

19 pixel-level labels from 50 cities in Germany. According to the study of Pan & Yang

(2009), the models trained in Germany can potentially be transferred to other areas like Hong

Kong. Table 2 lists the labels for *Cityscapes* in seven groups. Apparently, three types of

views, i.e., green, sky, and construction, can be directly mapped from *Cityscapes*' definitions.

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89	Table 2.	List	of labels	for the	Cityscapes	dataset and	for	W	VIs	in	this	study	•
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Group	Labels for <i>Cityscapes</i>	Labels for WVIs
Nature	Vegetation, Terrain ^{<i>a</i>}	Green
Sky	Sky	Sky
Construction	Building, Wall, Fence	Const.
Paved	Road, Sidewalk	Const.
Object	Pole, Traffic sign, Traffic light	Const.
Human	Rider, Person	_ b
Vehicle	Car, Truck, Bus, Motorcycle, Bicycle, On rails	_ <i>b</i>

a: Including all kinds of horizontal vegetation in Cityscapes; *b*: Negligible in this study.

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A Deeplab (Ver. 3+ with the Xception_65 backbone) model pre-trained on *Cityscapes* (Chen

et al. 2018; Xia et al. 2021) is transductively transferred to the segmentation of captured

window view images to the labels in Table 2. The off-the-shelf Deeplab model is one of the

top open-source deep learning models for urban views, where the training parameters can be

referred to (Chollet 2017) and (Chen et al. 2018). Xue et al. (2021) showed that transductive

²⁹⁷ transferring Deeplab leads to an efficient and low-cost semantic segmentation of view

images, even though the training and target datasets are from different contexts. As shown in

- ²⁹⁹ Figure 4, the incorporated version of Deeplab has a network architecture consisting of two
- ³⁰⁰ parts, i.e., an encoder and a decoder (Chen et al. 2018). The encoder mainly includes an
- 301 Atrous Spatial Pyramid Pooling Module (ASPP) for concatenated features from a low-level
- Atrous convolution (Chollet 2017), while the decoder concatenates the ASPP outputs and
- ³⁰³ low-level features with convolution and upsampling.



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Figure 4. Three types of semantic segmentation errors in direct transductive transferring of
 Deeplab. (a) Undefined labels, (b) segmentation errors, and (c) from input noises.

- However, as shown in Figure 4, the segmentation results of a direct transductive transferring
 were erroneous and unsatisfactory for WVIs in the study area. The primary source of errors
 was from the inconsistent labels, e.g., the water body, between the training dataset *Cityscapes*and our view images. Besides, minor errors resulted from the segmentation and input noises.
 Therefore, deep transfer learning can deliver pixel-level semantic segmentation with relevant
 labels for window view images, but the results must be corrected for the errors to improve the
 accuracy in computing WVIs and WVRs using the ML-based WVI regression layer described
 in Section 3.2.3 below.
- 316 3.2.3 ML-based regression for WVIs
- ³¹⁷ This step applies an ML-based WVI regression, as shown in Figure 5, to correct the errors
- from deep transfer learning for computing WVIs. The input features to the regression are
- *Cityscapes* labels in terms of proportions of pixels segmented by Deeplab in Figure 4. The
- outputs are the four WVIs, i.e., *WVI*green, *WVI*water, *WVI*sky, and *WVI*const. We annotate a small
- set of window view images with five labels, i.e., green, waterbody, sky, construction, and
- others (e.g., terrain and vehicles), which provide ground truth WVIs for the training process.
- 323 The candidate ML models include Decision Trees, Linear Regression, Support Vector
- Machines (SVMs), kNN, Artificial Neural Network (ANN), Random Forest, and Adaboost. A

standard train-compare-finetune pipeline is applied to select appropriate ML models to
estimate the WVIs through cross-validations. For each type of WVI, the most accurate ML
model (together with its parameters) is selected for the regression layer. As a result, the first
two types of errors shown in Figure 4 can be considerably reduced.



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Figure 5. ML-based regression layer for estimating WVIs.

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The results of ML training are compared with the actual values of the four view types from view image annotation using root-mean-squared error (RMSE):

$$RMSE = \sqrt{\frac{\sum_{l \in L} (Pred_l - WVI_l)^2}{n}},$$
(4)

where WVI_l indicates the actual value for the view type *l*, $Pred_l$ is the estimated value, and *n* denotes the number of window view images. The ML model trained with the minimum RMSE is selected for WVI estimation. We utilize 10-fold cross-validation for unbiased RMSEs.

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341 3.3 Post-processing for semantic enrichment of CIM

The estimated WVIs are post-processed to enrich the semantics of 3D CIM, which can conveniently support future applications in related domains as a common knowledge platform. The detailed workflow is as follows. First, geocoded view sites with WVIs are registered at the 3D globe. Then, regarding view sites within the same facade as a group of vertices, we triangulate them to reconstruct the building facade through a classic Delaunay method (Lee & Schachter 1980). Thereafter, a linear interpolation-based 3D rendering

- 348 (Akenine-Möller et al. 2019) of WVIs visualizes the whole building facades in a mesh
- ³⁴⁹ surface. The interpolation result also estimates the WVIs of all locations of the building
- facades. Finally, the CIM is enriched with the WVI semantics for a spectrum of applications
- in landscape management, sustainable urban planning and design, and real estate valuation.

352 **4 Experimental tests**

353 4.1 Experimental area and settings

The study area was Wan Chai in Hong Kong, as shown in Figure 6a. Wan Chai is one of the highest residential density zones according to the Hong Kong Planning Standards and Guidelines (HKPlanD 2018). The average of building heights is 35.5 m and the 75th 356 percentile is over 48 m. The study area owns a plot ratio at 8.0 and building density at 0.29 357 (the ratio of building site area to land area). Although the area enjoys considerable sky, sea, and greenery view contents, the visibility of natural features is often blocked by other 359 buildings. The 2D footprint data with building height information were extracted from the iB1000 digital topographic map of Hong Kong (HKLandsD 2014) as shown in Figure 6b, and 361 converted into the GeoJSON (Butler et al. 2016) format for batch attribute computations of 362 view sites' locations and headings. The data source of 3D photorealistic CIM was produced 363 and freely shared by the Planning Department of Hong Kong (2019) as shown in Figure 6c. We calibrated the CIM as 3D tiles to the correct geographical locations on the WGS-84 globe. 365 Then, 2D and 3D datasets were loaded and registered in an open-source 3D GIS platform named "Cesium ion." Ten buildings with typical different built environments from the seaside 367 to the mountain area were selected as case studies to examine the proposed approach, as 368 shown in Figure 6d. 369



Figure 6. Study area of Wan Chai, Hong Kong. (a) Location of Wan Chai, (b) building footprints, (c) input CIM, and (d) location of 10 case study buildings.

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The computational experiments were set up as follows. The workstation comprised an Intel 374 i7-10700 CPU (2.90GHz, 16 cores), 128 GB memory, one Nvidia GeForce RTX 2070 graphic card, and Ubuntu 20.04 (64-bit) operating system. Sample window views were collected on the Cesium platform (ver. 1.75). Deep transfer learning was in the environment 377 of Tensorflow (ver. 2.4) and Python (ver. 3.6). We adopted the seven ML models 378 implemented on Orange (ver. 3.26), a Python ML platform. From the case study buildings, 379 110 training examples were selected for unbiased representation of diversified window views and manually annotated with the WVIs for training the ML models. The one-off annotation 381 work consumed about 10 person-hours. The size of training examples satisfied the 382 requirements of deep transfer learning. We set each view image with 900×900 pixels to 383 represent the view features seen from the window. 384 385

4.2 Results

Results showed that the proposed method is automatic and efficient, as shown in Table 3. The

first step of batch generation returned 1,416 window view images from the 10 selected

³⁸⁹ buildings for the case study. The average time for generating one view image was 2.00 s. The

deep transfer learning processed the view images at an average time of 1.08 s in the second

step. The ML-based regression estimated the WVIs in <0.001 s on average for each image.

Processing Software library Step Average time (s) CIM-based batch generation Cesium (ver. 1.75) 2.00# 1 2 Deep transfer learning Deeplab (ver. 3+) 1.08 3 ML-based regression Orange (ver. 3.26) 0.00^{*} Total 3.08

#: A pre-set value that can be fine-tuned by workstation performance; *: Less than 0.001 s.

Table 3. Computational time of the proposed method for a window view image.

394

395

The WVIs' assessments of the proposed method were also satisfactory. Table 4 shows that for 396 the best model of the four view indices' estimation, the R² values were 0.952, 0.965, 0.978, 397 and 0.977 respectively, which represented more than 95% of the variance in the dependent variables. The RMSEs of the four training models were 0.021, 0.022, 0.025, and 0.042, 399 respectively. The optimal parameter of each best model was as follows. For WVIgreen, the 400 Linear Regression model was trained with Lasso (L1) regularization and strength at 0.0001. 401 For WVI_{water} , the SVM model performed the best, with kernel = RBF, C = 0.9, gamma = 0.05. 402 For WVIsky, a Linear Regression model with an elastic net regularization (L1:L2=0.50:0.50) 403 was utilized with the best accuracy of estimation, whereas for WVIconst, the best estimation 404 was observed from a Linear Regression model with a Ridge (L2) regularization (Alpha = 405 0.003). 406

407 408

Table 4. Training errors and time of the best model for four WVIs.

WVI	Best model	Parameters	RMSE	R ²	Training time (s)
Green	Linear Regression	L1 = 0.0001	0.021	0.952	0.077
Water	SVM	Kernel = RBF, <i>C</i> = 0.9, gamma = 0.05	0.022	0.965	0.154
Sky	Linear Regression	L1:L2 = 0.50:0.50	0.025	0.978	0.070
Const.	Linear Regression	L2 =0.003	0.042	0.977	0.091

409

410 WVRs were computed from the WVIs by the best model. Table 5 shows three typical window

views and their WVIs and WVRs. In Table 5, a WVR is represented in an array of stars,

showing the level from "very low" to "very high" in Eq. 3. The highest WVRs correctly

reflected the given dominant features for all the samples.

Table 5. Sample WVIs and WVRs for typical sample window views.

View images								
Dominant feature		Sky		Green		Construction		
Feature	Max.	WVI	WVR	WVI	WVR	WVI	WVR	
Green	0.5421	0.0165	*	0.4867	* * * * *	0.0130	*	
Water	0.4375	0.3352	* * * *	0.0024	*	0.0000	*	
Sky	0.5505	0.4682	* * * * *	0.3236	* * *	0.0928	*	
Const.	1.0000	0.1870	*	0.1704	*	0.9057	* * * * *	

416

417 4.3 Post-processing for enriching CIMs

In the post-processing, the estimated WVIs and WVRs were registered for enriching the 418 semantics of input CIM. Figure 7 shows the 3D mesh model of the regional WVIs in the 419 study area. Generally, most rooms of the buildings owned a high WVIconst. in this area as 420 shown in Figure 7d. Figure 7b shows that only windows facing the seaside in the high-rise 421 buildings near the harbor can have high-level WVIwater values in Wan Chai. Great sky views 422 were scattered across the rooms with the high storeys as shown in Figure 7c. Figure 7a shows 423 the generally low and fluctuated WVIgreen, reflecting the varied amount of the surrounding 424 greenery at different locations. In summary, the disparity of possession of natural view 425 resources, i.e., greenery, water, and sky, is significant in the study area. The quantified 426 disparity can help the urban planners to make a more accurate and specific decision for future 427 landscape management and urban planning, e.g., prioritized greenery planning for buildings 428 without any nature views. 429





Figure 7. Regional patterns of WVIs. (a) WVIgreen, (b) WVIwater, (c) WVIsky, and (d) WVIconst.

Figure 8 shows a WVR-enriched comparison of two example north-facing facades, one 433 nearby and the other far away from the seafront, of which the locations are marked in Figure 434 8e. Holistically, water and sky views of the first facade were above the "average" levels in the 435 study area ($\geq 40\%$), as shown in Figures 8b and 8c; in contrast, the levels of those views of 436 the second facade were consistently lower due to the inter-building obstruction. Figure 8a 437 shows the green views were both at a "very low" level (< 20%) due to the less visible 438 greenery. The construction view patterns of the two facades varied as shown in Figure 8d, 439 where construction views dominated the second facade. In comparison with WVI values, the 440 relativity in such WVR results is more convenient for certain applications such as real estate 441 valuation, since the levelization of the window view such as "very high" and "very low" can 442 intuitively inform developers and occupants of the room view quality within the local 443 context. 444



Figure 8. WVR patterns of two example building facades. (a) WVR_{green} , (b) WVR_{water} , (c) WVR_{sky} , (d) $WVR_{const.}$, and (e) their general locations.

448

449 4.4 Sensitivity analysis

450 4.4.1 View sampling interval in Step 1

A trade-off existed between processing time cost and accuracy when applying the view 451 sampling interval in Step 1. A sensitivity analysis was conducted to identify a cost-effective 452 sampling plan. In the experiments, the case was a facade area $(120 \text{ m} \times 60 \text{ m})$ of the China 453 Resources Building, as shown in Figure 9a. The benchmark was set to the result of a 5 m 454 sampling interval. We tested a range of sampling intervals from 10 m to 60 m in an 455 approximately exponential increment. Figure 9b shows the example of WVIsky estimation 456 results resampled back to the 5 m scale through linear interpolation to compare the accuracies 457 in terms of RMSE. We found that with increased sampling interval, the time consumption of 458 the window view image processing from generation to estimation witnessed a sharp decline, 459 whereas the RMSEs of four WVIs increased accordingly, as shown in Figure 9c. From the 460 observation, the sample interval of 20 m can be a "sweet point," in which an efficient and 461 accurate estimation of WVI (RMSE<0.015) was obtained without excessive processing time. 462 Thus, for the view image processing of case buildings, we used 20 m as the sampling interval 463 for large facades. For a building facade whose length or width was less than 20 m, 5 m was 464 used. 465





Figure 9. Sensitivity analysis of sampling intervals. (a) A case facade, (b) estimated *WVI*_{sky} at different sampling intervals, and (c) trade-off between time cost and four WVIs' accuracy.

470



Figure 10 compares view segmentation results using two different CIMs. The appearances of the two 3D models were close but clearly distinguishable. First, the color contrast of Google Earth's CIM was softer than the model adopted in this study, and the low contrast resulted in the misclassification of constructions and greenery highlighted in Figure 10a. Second, the model fidelity also affected the stimulation effects. Figure 10b shows that some parts of the vegetation view (as highlighted in the rectangles), which were wrongly segmented using our
CIM, can be corrected using Google Earth's model. This finding was due to the higher
quality of Google Earth's in expressing the vegetation features, especially in close range.
Lastly, the distortions in CIMs affected the segmentation accuracy. As shown in the red
rectangles in Figure 10c, the blurred facades in the left column resulted in inaccurate
segmentation, whereas the distortions in Google Earth's model led to the wrong detection of
buildings to vegetation.



484

Figure 10. Comparison of window view image segmentation (Step 2) against different CIMs.
(a) View color, (b) view fidelity, and (c) view distortions.

487

488 4.4.3 ML models for regression in Step 3

Based on the R^2 , the performance of trained models is examined, and results are shown in

⁴⁹⁰ Figure 11. For the estimation of the four WVIs, all ML models had R² values greater than 0.7.

For three types of WVIs, i.e., WVI_{green} , WVI_{sky} , and $WVI_{const.}$, the best models were produced

by Linear Regression. For the WVI_{water} estimation, the best model was SVM, whereas the

Linear Regression returned $R^2 > 0.93$. The satisfactory results from Linear Regression might

- echo the assumption that four window view types could be mapped directly from the urban
- 495 street view features in high-rise, high-density areas.



Figure 11. Comparison of R² performances of the seven ML models.

497 498

5 Discussion 499

5.1 Significance 500

Large-scale window view assessment has a great potential to support many smart city 501 applications. The window view quality is of great significance for residents in high-rise, high-502 density areas. In the post-Covid-19 era, window view plays an important role in accessing 503 nature as people have to stay longer in their houses or offices. The quantitative window view 504 quality assessment at the city scale can provide an intuitive understanding of environmental 505 inequality. Planners can use the results to prioritize improvements of the poor living 506 environments, such as prioritized provision of more green space for neighborhoods with poor 507 window views. And government sectors and policymakers can make the regulations, e.g., 508 minimum acquisition of nature views in the future sustainable urban development. The results 509 can also facilitate urban and architectural design by quantifying the window view quality at a 510 relatively low cost. Designers can integrate the quantified view results for more 511 comprehensive generative designs of building spaces (Laovisutthichai et al. 2021) and new 512 towns. In addition, the method can serve as a new indicator for the housing market and thus 513 has a great potential to the architecture, engineering, and construction development. 514

515

In the past, surveyors had to enter real rooms of buildings to capture the window views. 516

Owing to this time-consuming, labor-intensive task, the window view dataset is always 517

limited (Labib et al. 2021). Furthermore, accessing all window views manually at a large 518

scale becomes impossible in terms of cost, labor force, and privacy (Helbich et al. 2019). 519

Nowadays, with the advancement of remote sensing, photogrammetry, and digital twin 520

technology, mature 3D CIMs with high-quality textured appearances are becoming 521

increasingly available for detecting multiple groups of view features. CIM-based simulated
window views for the real world have been validated effectively (Li & Samuelson 2020; Li et
al. 2020). However, for an urban-scale window view quality evaluation, processing a large
number of views manually remains laborious and expensive for surveyors. The proposed
window view quality assessment method can free humans from repetitive and timeconsuming tasks, and provide a set of quantifiable indicators to support fundamental and
derivative applications in window view quality evaluation.

529

The proposed automatic assessment method can effectively generate four major view indices 530 for quantifying and analyzing the urban-scale window views. First, this study makes full use 531 of volumetric landscapes from 3D photo-realistic CIMs to further enrich the CIM with four 532 WVIs, thereby enabling many window-view-based digital twin city applications, such as 3D 533 city living environment assessment and housing scenic quality comparison. From a 534 practitioners' point of view, the method is easy-to-use, low-cost, and accurate. For example, 535 the automation process can be implemented without considerable prior knowledge. The pre-536 trained Deeplab model was shared freely. Based on the transfer learning theory, only a small 537 dataset is required for a satisfactory WVI assessment. Moreover, the experimental results 538 confirmed a high accuracy of assessing the window views ($R^2 > 0.95$). In summary, the 539 proposed method contributes to window view assessment using CIM and AI, and also 540 provides relatively low-cost and high-accuracy WVIs for applications in urban planning and 541 design, and property valuation. 542

543

544 5.2 Limitations and future work

Nevertheless, a few limitations exist in the work presented in this study. First, the assessed 545 window view quality in this study only involved limited contents, including greenery, sky, 546 water body, and construction. Movable city objects e.g., pedestrian, car, and rare urban 547 features e.g., bare soil surface were not involved. Other view elements exerting influence on 548 indoor living satisfaction and outdoor environment perception such as aesthetic and 549 environmental quality, view distance, and layer were not considered. Second, the horizontal 550 view was set to compute the WVIs, which might miss visible features from other directions, 551 e.g., the ground level. Next, another limitation was the high workload of 2D image 552 segmentation involving repeated computation. For instance, similar view images from 553 neighboring windows were independent without reusing the intermediate segmentations. The 554 computation cost could be slightly higher for irregular buildings due to more view samples 555

and processing. Last, the window sampling and interpolation also led to possible accuracylosses.

558

Future directions to improve the presented study are as follows. The first is extending the 2D 559 image format of window views to incorporate high-dimensional factors (e.g., fine-scale 560 classified view features, view distance that influences residents' feeling of spaciousness, and 561 aesthetics and environmental quality attributes that influence living satisfaction) for holistic 562 quality and optimization. More FoVs, such as 360-views, can extend the WVIs assessed in 563 the 60° horizontal views in this study. Well-labelled CIM for landscapes is proven effective 564 for large-scale view quantification (Yu et al. 2016). Thus, a 3D segmented CIM may 565 eliminate the repetitive and redundant 2D image segmentation and save considerable costs of 566 training and applying deep transfer learning, especially for irregular buildings. Another 567 direction is to identify the accurate 3D location and orientation for each physical window in 568 the CIM so that the assessed WVIs and WVRs can be associated with windows and rooms. 569 570

571 6 Conclusion

A high-quality window view with enough features such as greenery, sky, and water not only has a good impact on residents' health, well-being, and performance, but also can enrich the value of the house, especially in high-rise, high-density areas. Traditional window view assessment methods have common problems such as subjectivity, scalability, and efficiency. To address these limitations, this study uses an automatic method for the large-scale window view quality assessment through the use of CIM-based window view images of city buildings.

579

This study defines an indicator named Window View Index (WVI) including four sub-indices 580 i.e. Green view index, Water view index, Sky view index, and Construction view index, 581 which are measured at one time efficiently. By implementing a fast-sampling method, outside 582 views are captured at each view site of the 3D CIM at the initial stage. Then, a pre-trained 583 584 deep transfer learning model is used to classify view images into multiple features efficiently. To construct the regression between detected features and the WVI, seven traditional machine 585 learning models are tuned to achieve the best performance. Our method achieved highly 586 satisfactory results in estimating the WVIs for the high-rise, high-density area, in Wan Chai, 587 Hong Kong. The RMSEs of estimation did not exceed 0.042, whereas the average time of 588

- ⁵⁸⁹ processing each window was 3.08 s.
- 590

The proposed method provides intuitive indicators of the window view quality for high-rise, high-density areas. The automatic, accurate method is scalable to the urban scale, thereby enabling many window view-based applications in landscape management, sustainable urban planning and design, and real estate valuation, which would benefit residents' health, urban optimization, and the housing industry. Future work includes extending the view indices, 3D semantic segmentation of CIM, and mapping the WVIs to physical windows and rooms.

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