#### An optimization approach for automated as-built 3D modeling

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#### Section 1 BACKGROUND & OPPORTUNITY

## 1.1 As-built 3D modeling of civil infrastructures

♦ As-built models [1]

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- Increasingly important for AEC/FM<sup>+</sup>
  - $\circ$  Construction management
  - Facility management
  - Built env. conservation
  - Business with VR/AR, etc.

See as-planned, as-designed, as-demolished BIMs

- Popular technologies (surface models)
  - Photogrammetry (videogrammetry)
  - Point cloud
  - **3D** Geographic information system
  - Others (statistical rules, deep learning<sup>[2]</sup>, etc.)

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**†**: Architecture, Engineering and Construction/ Facilities Management

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An example of photogrammetry: Kowloon Wall City (Source: patrick-@sketchfab.com)



An example of point cloud: Pompei City (Source: MAP-Gamsau lab, CNRS, France)



An example of GIS-based: 3D Berlin (Open Data, source: berlin.de)

### 1.1 The (semi-)automated as-built 3D modeling

♦ Two categories of methods

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- Data-driven v.s. Model-driven
- ♦ Some challenges remains
  - Unsatisfactory semantic/abstraction discovery
    - → huge size (data-driven), poor reusability(model-driven)



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## **1.2 Derivative-free Optimization in OR<sup>+</sup>**

- Optimization (a.k.a. Mathematical programming)
  - the selection of a *best* element (with regard to some criteria) from *some* set of available alternatives.
- Nonlinear optimization

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- When *objective function* or some *constraints* are nonlinear
- ♦ Derivative-free Optimization (DFO) [3]
  - Objective function or constraints are unknown
    - E.g., model selection, parameter tuning in simulations
    - Especially when function is *very expensive* or *unanalyzable*
  - Challenging (*NP*-hard), but achieved significant success
    - In applied science and engineering such as *molecular biology* and *material sciences*

**†**: Operations Research F Xue: Auto as-built 3D modeling (Staff Seminar)  $\max f \colon \mathbb{R}^n \mapsto \mathbb{R}$ An example of optimization

Input Stimulus Response

DFO: Manipulating a black-box (Figure adapted from Wikipedia)



#### 1.3 An opportunity

♦ The questions

**Can the model generation be generally solved by DFO methods?** 

If true, can semantic data be discovered at the same time?
If all true, we can

Map between a typical problem in AEC/FM and a class of powerful algorithms in OR

- Also expose as-built model generation to many other nonlinear methodologies
- Discover semantic (abstraction) information



#### Section 2 AS-BUILT MODELING AS OPTIMIZATION

## 2.1 A meta-model of as-built 3D modeling

Given a reference measurement, a set of parametric components

• The variables (X) are the parameters of the components;

 $\max f(X)$ <br/>s. t.  $A(X) \le 0$ 



Meta-model of constrained optimization & its solution space



A meta-model of optimization is from such a formulation:

Tells computers: What to change

What is good

Rules to follow

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The objective function (f) is to maximize the similarity (or minimize dissimilarity) between the 3D model (as combinations of the parametric components) and the measurement; and

The **constraints** (*A*) over the variables are the topological relationships between components.

Meta-: Abstraction

Ifrom Greek prefix μετά-, "beyond"

### 2.1 Computational algorithms for the meta-model

- Srutal-force search is impractical
- Fortunately, there are a long list of off-the-peg algorithms for solving such a meta-model as a black-box:
  - Surrogate methods

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- CMA-ES (Covariance matrix adaptation with evolution strategy)<sup>[5]</sup>
   and its variants are competitive
- Trust-region methods
- Metaheuristics (GA, PSO, VNS, etc.)
- Hyper-heuristics, data mining
- ... and Monte Carlo



Comparison of algorithms for BBOB-2009 (Black-Box Optimization Benchmarking, higher is better)<sup>[4]</sup>

### 2.1 The framework: A bird's-eye view

♦ The full framework of optimization-based model generation

- Input 1: Reference measurements (photo, point clouds, video)
- Input 2: Semantic and parametric components
- Process: Systematically finding the fittest model by solving meta-model with DFO methods
- Output: A semantic as-built model



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The overall framework of optimization-based modeling

## 2.2 A pilot: A demolished building at campus

♦ The pilot case

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- A demolished baroque-style two-storey building
  - Once occupied by School of Tropical Medicine and School of Pathology, HKU
- Input: A photo
- Preparing parametric components
  - Only apparent (>1m) components (for feasibility test)
  - 7 components were collected from 3D Warehouse of SketchUp
    - With a keyword filter "baroque"
    - With limited (3) pairs of conflicting components
  - Adjusting components for the case
    - Removing extra parts, alignment





(Contributors: Mohamed EL Shahed, Richard, KangaroOz 3D, Yoshi Productions, 3dolomouc, Architect, Ben @ 3D Warehouse)



#### 2.2 The meta-model

Meta-model of as a constrained optimization problem m

- Minimize the dissimilarity
  - $_{\circ}~$  Between the projected image of model and the input photo
  - $_{\odot}\,$  E.g., Mean square error (MSE) of pixels or SSIM  $^{[6]}$  +
- With respect to topological constraints
- Computational functions implemented on SketchUp (2016 Pro) Ruby API
  - Objective function interface
  - Variables as parameters (per component)

Manifolds (0, 1) + scaling (xyz) + location (xyz) + rotation  $(\alpha\beta\gamma) = 4 \sim 6$  variables

- Constraints of topological relationships
- A virtual *Ground* object is placed at first

*CONTAINS\_ON* for each component

†: Structural similarity

## 2.1 Details of the topological relationships

- Topological relationships
  - Categories

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- Adjacency: ABOVE, BELOW, NEXT\_TO, ...
- Separation: SEPERATED
- Containment: CONTAINS\_ON, CONTAINS\_IN
- Intersection: INTERSECTS\_WITH
- Connectivity: CONNECTS\_TO
- Semantic definition
  - Adding properties like *scaling* and *topological relationships* to their SketchUp dictionaries
    - E.g., ABOVE, BELOW, CONTAINS\_ON, etc.



omg_door_portico		em
Custom		
omg_lock_a spect_ratio	true	
omg_offset_y	-35	8
omg_scale_ x_max	1.25	
omg_scale_ x_min	0.75	
omg_scale_ y_max	1	
omg_scale_ y_min	1	
omg_scale_ z_max	1.25	8
omg_scale_ z_min	0.75	
Add attribute		

An example of the dictionary of component in SketchUp

#### Time of preparation of components

- Collection (~15 minutes)
- Adjustment (~30 minutes)
- Semantic def. (~15 minutes)

### 2.2 An earlier computational experiment in 2016

- Automated generation
  - Two phases:

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- Incremental (2,800 trials)
  Refinement (2,000 trials)
  The Solver: CMA-ES (C++ code <sup>[7]</sup> in a Ruby wrapper)
  Time: 3,822.4s<sup>†</sup>
  - After so much simplification
- Fault-tolerant (see the trees)
- Semantic/grammar-enhanced



Automated generation of semantic as-built model as solving the nonlinear optimization problem, by a well-known DFO algorithm CMA-ES in 3,822.4 seconds (4,800 trials, single thread) on an Intel i5-6500 CPU (3.2 GHz)

**†** : Should be much faster now.

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### 2.2 Results and post-processing

♦ Obtained

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- The facade in the photo
- Semantic links
- Post-processing
  - Manual completion
  - Copy & paste
    Georeferencing and display in 3D



(a) Direct result: The façade in the photo



(c) Manually completed approximate model (~15 minutes)



(b) The semantic links illustrated in Stanford Protégé (Circle denotes a component class and a diamond stands for an instance/object)



(d) Georeferencing and illustration on Google Earth, near MTR Exit A (~5 minutes) <sup>16</sup>

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## 3.3 OMG & live demonstration

- A library OMG (optimization-based model generator) is under development
  - A shared computational library with specific plugins for
    - SketchUp, Revit, *etc*.
  - Multiple meta-models with various
    - Objective functions
    - $_{\circ}~$  Measurement types, and
    - Solving algorithms
  - Multiple modeling options
    - $\circ~$  Ontology-guided, free discovery, finetuning, etc.
    - $_{\circ}~$  Extended the earlier pilot study
- Demo (more efficient now)

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One click

OMG

#### Section 3 DISCUSSION & FUTURE RESEARCH



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#### **3.1 Discussion**

Meta-modeling of as-built 3D modeling as constrained optimization

- Pros: General, simple, no explicit object recognition/segmentation (also challenging)
- Cons: A larger search space (slower), slow full projection, limited by pixels, less accurate
- Semantic definitions of components

Pros: Realized 'grammar' of components, simplified optimization

Cons: Some manual work needed, subject to redefinition from a project to another
 The framework as a whole

Pros: High automation, reusing components and abstractions, less requirements on equipment, tolerant to errors, scalable to new environments, (hopefully) semantically rich

• Cons: Less accurate in geometry, still in its *infancy* 

Answers to the question: 1) True; 2) Applicable to some relations

• Semantic recognition/segmentation is another pillar for semantic BIM F Xue: Auto as-built 3D modeling (Staff Seminar)



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#### **3.2 Future research**

- ♦ Effectiveness
  - More didomains (e.g. infrastructures, *etc.*)
  - Advanced DFO methods
  - More objective functions
  - On real BIM/CIM models instead of surface models
- Efficiency
  - Efficient ways of manipulating point clouds (working...)
    - E.g., *k*d-tree, approximate *k*NN, convex hull, planar and object detection
- ♦ Extensions
  - Shared component libraries for reusability (e.g., IFC-compatible)
  - Handling other challenging AEC/FM problems

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We are still on the way (Source: clipartpanda.com)



The Roman aqueduct Pont du Gard (Source: Wikipedia/ 3Dwarehouse.com)<sup>20</sup>



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# **Thank You !**

